

AO 120 (Rev. 3/04)

TO: <b>Mail Stop 8</b> Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450	<b>REPORT ON THE          FILING OR DETERMINATION OF AN          ACTION REGARDING A PATENT OR          TRADEMARK</b>
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In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court for the District of Columbia on the following ☒ Patents or ☐ Trademarks:

DOCKET NO. 10cv1138	DATE FILED 7/6/2010	U.S. DISTRICT COURT for the District of Columbia
<b>PLAINTIFF</b> NOVARTIS AG Lichtstrasse 35, CH-4056, Basel Switzerland NOVARTIS VACCINES AND DIAGNOSTICS, INC. 4560 Horton St., Emeryville, CA 94608		<b>DEFENDANT</b> DAVID J. KAPPOS P.O. Box 15667 Arlington, VA 22215
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 6,656,957	12/2/2003	NOVARTIS AG
2 6,878,721	4/12/2005	NOVARTIS AG
3 7,098,325	8/29/2006	NOVARTIS AG
4 7,112,673	9/26/2006	NOVARTIS AG
5 see attached		

In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY		
	<input type="checkbox"/> Amendment <input type="checkbox"/> Answer <input type="checkbox"/> Cross Bill <input type="checkbox"/> Other Pleading		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK	
1			
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3			
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5			

In the above—entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT
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CLERK Angela D. Caesar	(BY) DEPUTY CLERK /s/ Nicole Wilkens	DATE 7/7/2010
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Copy 1—Upon initiation of action, mail this copy to Director    Copy 3—Upon termination of action, mail this copy to Director  
 Copy 2—Upon filing document adding patent(s), mail this copy to Director    Copy 4—Case file copy

10cv1138 RJL  
NOVARTIS AG, et al v. KAPPOS

**Additional Patent Numbers:**

<b>Patent No.</b>	<b>Date of Patent</b>	<b>Holder of Patent</b>
7,265,089	9/4/2007	Novartis Vaccines and Diagnostics, Inc.
7,348,353	3/25/2008	NOVARTIS AG
7,423,148	9/9/2008	NOVARTIS AG
7,470,709	12/30/2008	Novartis Vaccines and Diagnostics, Inc.
7,470,792	12/30/2008	NOVARTIS AG
7,534,890	5/19/2009	NOVARTIS AG
7,576,221	8/18/2009	Novartis Vaccines and Diagnostics, Inc.